

Closed Risks

Report Date	04 Dec 2018
Risk Status	Closed
Risk Level	

Closed Risks

1. Corporate Risks						
Risk Ref	Risk Title	Description	Inherent Risk Priority	Risk Control	Residual Risk Priority	Action Required
CR 1	Recruiting, retaining and stabilising the senior management team. Risk Owner: Surjit Nagra Delegated Risk Owner: Last Updated: 21 Aug 2018 Closed Date: 21 Aug 2018 Closed By: Phil Brown Closing Comments: New Chief Executive starts 1st Oct. 2018	There are currently interim positions at Chief Executive.	I = 2 L = 4 8	Corporate Management Team that leads the Council	I = 2 L = 4 8	Complete the consultation for the Director and service Lead roles
						Once consultation is complete implement new structure will need to be implemented
						Appoint a permanent Chief Executive
CR 6	There is a risk that the processes for bringing Environmental Services back in house are inefficient and ineffective making the transition difficult. Failure to ensure Environmental services operate to the standard expected by the Council Risk Owner: Mike England Delegated Risk Owner: Last Updated: 28 Sep 2018 Closed Date: 06 Sep 2018 Closed By: Phil Brown Closing Comments: Closed by Risk management Board	Failure to run a value for money operation and exploit commercial opportunities	I = 3 L = 5 15	Analyse costs and assess value for money	I = 3 L = 3 9	Embedding new staff into the organisation including line management structure
				Brought in commercial expertise		Construct a system of monitoring service delivery including Key performance indicators
				Call off contract with Agency in case of staff shortages or strikes.		
				New Fleet purchased		
				TUPE transfer of staff including experienced staff and managers.		
				Working Group has been set up to manage mobilisation (Chaired by CEO).		

Closed Risks

1. Corporate Risks						
Risk Ref	Risk Title	Description	Inherent Risk Priority	Risk Control	Residual Risk Priority	Action Required
CR 16	<p>Ensuring that the Council meets its statutory duties with regards to school provision</p> <p>Risk Owner: Cate Duffy</p> <p>Delegated Risk Owner:</p> <p>Last Updated: 31 Oct 2018</p> <p>Closed Date: 31 Oct 2018</p> <p>Closed By: Cate Duffy</p> <p>Closing Comments: Strategy in place. No immediate risk that places will not be available. Risk will be monitored at DMT level</p>	<p>Currently forecasts indicate that there will be an insufficient level of available places in early years as well as likely demand for places in the secondary school sector within the medium term</p>	I = 3 L = 4 12	Capital Strategy Board - provides oversight on capital spending and borrowing.	I = 3 L = 3 9	Strategy to Cabinet
				Oversight by Cabinet and Overview and Scrutiny		
				Place Planning Board – reviews the need for places.		
				School Place Planning Strategy - outlining demand and programme to meet this		
CR 18	<p>Failure to Implement SEND Reform</p> <p>Risk Owner: Cate Duffy</p> <p>Delegated Risk Owner:</p> <p>Last Updated: 31 Jul 2018</p> <p>Closed Date: 31 Jul 2018</p> <p>Closed By: Cate Duffy</p> <p>Closing Comments: SEND Reforms have now been implemented. Inspection risk managed at Directorate level</p>	<p>The Council has a statutory duty to implement Children & Families Act 2014 reforms to SEND by April 2018</p> <p>The Council will be inspected within the next three years</p>	I = 4 L = 5 20	Recruited a Service lead for SEND for better integration with the Council	I = 3 L = 4 12	Establishment of SEND Partnership Board
				Regular review and monitoring at Children's Learning & Skills Management team		
				SEND Partnership Board		
				There is a permanent SEND team now within the Council.		

Closed Risks

1. Corporate Risks						
Risk Ref	Risk Title	Description	Inherent Risk Priority	Risk Control	Residual Risk Priority	Action Required
CR 20	arvato Contract Risk Owner: Barry Stratfull Delegated Risk Owner: Last Updated: 09 Aug 2018 Closed Date: 09 Aug 2018 Closed By: Phil Brown Closing Comments: Duplicated	<p>The Council has a contract with arvato to supply a range of back officer and IT functions. the contract is due to end in the next two years and a decision needs to be made on what the Council intends to do going forward.</p> <p>There is a danger that arvato may decide to pull away from the contract early.</p> <p>There is also a risk that the implementation date of some IT projects may go past the end of..</p>	I = 3 L = 4 12	There is an interim in place managing the contract with arvato	I = 3 L = 4 12	The Departmental restructure will look to address some of the monitoring issues